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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	ATH 123 US		
		First Inventor	Charles Q. Meng		
		Express Mail Label No.	EV330777692US		
Title		Novel Salt Forms of Poorly Soluble Probuco Esters and Ethers			
Application Elements <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Commissioner of Patents Mail Stop Patent Application PO BOX 1450 Alexandria, VA 22313-1450			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27</small></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input]<br="" type="text" value="79"/><small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages <input]<="" p="" type="text" value="0"/><p>5.</p><p>6. Oath or Declaration [Total Pages <input]<="" p="" type="text" value="2"/><ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Unexecutedc. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small><p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></p></p>		<p>8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <small>(Appendix)</small></p> <p>9. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statement verifying identity of above copies			
ACCOMPANYING APPLICATION PARTS					
<p>10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>16. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>17. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <small>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</small></p> <p>18. <input checked="" type="checkbox"/> Other: check for \$ <u>1000.00</u></p>					
<p>19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____</p> <p>Prior application information: Examiner: _____ Group/Art Unit: _____</p> <p><small>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small></p>					
20. CORRESPONDENCE ADDRESS					
<p><input checked="" type="checkbox"/> Customer Number or Bar Code Label 20786 <small>(Insert Customer No. or Attach bar code label here)</small> OR <input checked="" type="checkbox"/> Correspondence address below</p>					
Firm or Individual Name		Sherry M. Knowles, Esq. (Registration No. 33,052)			
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Name (Print Type): Sherry M. Knowles		Registration No. (Attorney/Agent)		33,052	
Signature <i>Sherry M. Knowles</i>		Date		July 14, 2003	

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FEE TRANSMITTAL

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Charles Q. Meng.
Filing Date: July 14, 2003
Title: Novel Salt Forms of Poorly Soluble Probucol Esters and Ethers

The filing fee is calculated as shown below:

1. **FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370.00	\$370.00	\$740.00	
<input type="checkbox"/> DESIGN FILING FEE	\$165.00		\$330.00	
<input type="checkbox"/> PLANT FILING FEE	\$255.00		\$510.00	
<input type="checkbox"/> REISSUE FILING FEE	\$370.00		\$740.00	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80.00		\$160.00	
SUBTOTAL (1)		\$370.00		

2. **CLAIMS:**

FOR:	SMALL ENTITY			LARGE ENTITY		
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	94 - 20 =	74	74 x \$9 =	\$ 1406	x \$18 =	
INDEP. CLAIMS	19 - 3 =	16	16 x \$42 =	\$ 672	x \$84 =	
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+\$140 =	\$	+\$280 =	
SUBTOTAL (2)				\$		

3. **ADDITIONAL FEES:**

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<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		

TOTAL FILING FEES: \$ 2078 .00

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☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980

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By: Sherry M. Knowles Date: July 14, 2003

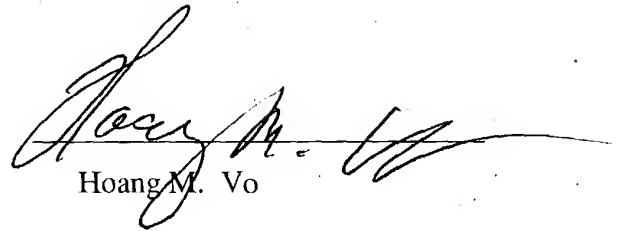
Sherry M. Knowles, Esq.

Reg. No. 33,052

UTILITY PATENT APPLICATION FILING

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application and Transmittal, along with any documents referred to as attached therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addresses" services under 37 CFR 1.10, Mailing Label Number EV330777692US addressed to mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450.



Hoang M. Vo

Date: July 14, 2003
ATH 123 US